

# NOMINATION FORM FOR INDIVIDUALS ONLY (Demat & Trading Account)



**BO Account Details:** \_\_\_\_\_ **Trading Code:** \_\_\_\_\_ **Date:** \_\_\_\_\_

I/We the Sole holder/Joint holders/Guardian (in case of minor) hereby declare that:

- I/We **do not wish to nominate** any one for this Demat & Trading Account.  I/We wish to **cancel to nomination**.  
 I/We **nominate** the following person who is entitled to receive security and fund balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

NOMINEE-1 DETAILS	NOMINEE-2 DETAILS	NOMINEE-3 DETAILS
Name: _____	Name: _____	Name: _____
Address : _____	Address : _____	Address : _____
City: _____ State: _____	City: _____ State: _____	City: _____ State: _____
Pin. _____ Country: _____	Pin. _____ Country: _____	Pin. _____ Country: _____
Fax: _____ Tel.: _____	Fax: _____ Tel.: _____	Fax: _____ Tel.: _____
Mobile: _____	Mobile: _____	Mobile: _____
E-mail ID: _____	E-mail ID: _____	E-mail ID: _____
Relationship with BO (if any): _____	Relationship with BO (if any): _____	Relationship with BO (if any): _____
DoB of Nominee: _____	DoB of Nominee: _____	DoB of Nominee: _____

IDENTIFICATION DETAILS OF NOMINEE		
Nominee PAN: _____	Nominee PAN: _____	Nominee PAN: _____
Aadhar / UID: _____	Aadhar / UID: _____	Aadhar / UID: _____
Others#: _____	Others#: _____	Others#: _____

### GUARDIAN DETAILS (If nominee is a minor)

As the, Nominee is a minor as on date, I/We appoint following person to act guardian and to receive the securities in this account on behalf of the Nominee in the event of death of the Soleholder/all Joint holders.

GUARDIAN-1 DETAILS	GUARDIAN-2 DETAILS	GUARDIAN-3 DETAILS
Name: _____	Name: _____	Name: _____
Address : _____	Address : _____	Address : _____
City: _____ State: _____	City: _____ State: _____	City: _____ State: _____
Pin. _____ Country: _____	Pin. _____ Country: _____	Pin. _____ Country: _____
Fax: _____ Tel.: _____	Fax: _____ Tel.: _____	Fax: _____ Tel.: _____
Mobile: _____	Mobile: _____	Mobile: _____
E-mail ID: _____	E-mail ID: _____	E-mail ID: _____
Relationship with Nominee (if any): _____	Relationship with Nominee (if any): _____	Relationship with Nominee (if any): _____
DoB of Guardian: _____	DoB of Guardian: _____	DoB of Guardian: _____

IDENTIFICATION DETAILS OF GUARDIAN		
GUARDIAN PAN: _____	GUARDIAN PAN: _____	GUARDIAN PAN: _____
Aadhar / UID: _____	Aadhar / UID: _____	Aadhar / UID: _____
Others#: _____	Others#: _____	Others#: _____

\_\_\_\_\_ ✍ Signature of Guardian      \_\_\_\_\_ ✍ Signature of Guardian      \_\_\_\_\_ ✍ Signature of Guardian

	Nominee-1	Nominee-2	Nominee-3
<b>Percentage of allocation of securities :</b>	<input type="text" value=""/> %	<input type="text" value=""/> %	<input type="text" value=""/> %
<b>*Residual Securities (Please tick if any) :</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

This nomination shall supersede any prior nomination made by me/us and also any testamentary document executed by me / us.

<input type="text" value=""/> ✍ FH	<input type="text" value=""/> ✍ SH	<input type="text" value=""/> ✍ TH
Name: _____	Name: _____	Name: _____

Details of Witness	
Signature of First Witness	Signature of Second Witness
Name _____	Name _____
Address _____	Address _____

**(To be filled by DP)**  
 Nomination accepted and registered vide Registration No. \_\_\_\_\_ For SBICAP Securities Limited  
 Dated \_\_\_\_\_ Authorised Signatory

\*Residual Securities: Incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fall to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.  
 # Any one of the following can provided as identification details of the nominee/guardian.  Savings Bank Account Number  Proof of Identity  Demat Account ID

## NOMINATION NOTES

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm and Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. Only individual / natural person(s) can be a nominee(s). The Nominee(s) shall not be artificial person created/dressed by the law or by a fiction such as trust, society, body corporate, partnership firm, Hindu Undivided Family, etc. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm and Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
8. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
10. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
11. Savings bank account details shall only be considered if the account is maintained with the same participant.
12. DP ID and client ID shall be provided where demat details is required to be provided.
13. In case of joint demat account both the account holders are required to sign the form.
14. In case of cancellation of a nominee made by me/ us earlier and consequently all rights and liabilities in respect of beneficiary ownership in the securities held by me/ us in the said account shall vest in me/ us.