

Non Debarred Declaration

Name : _____

Pan No : _____

Address : _____

Date: : _____ 20

To,

SBICAP Securities Ltd.

12th Floor, "A & B " Wing, Marathon Futurex,

N. M. Joshi Marg, Lower Parel (E), Mumbai- 400013.

Tel.: 022 - 42273300/01

Subject: Non Debarred Declaration

Dear Sir/Madam,

I / We hereby declare and confirm that I have not been prohibited / debarred from dealing in securities and no penalty has been imposed on me for violation of security laws/ other economic offences by SEBI or any other regulation authority.

I / We further declare that no penalty proceedings are pending against me. I / We hereby undertake to notify you forthwith of any changes in this regard immediately in writing and shall not do anything in violation of such orders, if any at a later date.

I / We also hereby agree to indemnify SSL and their successors or assigns, if any of declarations made hereunder by me/ us is incorrect, false or misleading in any of its particulars.

I / We hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and in case any of the above information is found to be false or untrue or misleading or misrepresenting or suppression of any material fact will render my account liable for termination and further action.

Yours faithfully,

(Name & Signature of the Client)

Date : _____

Place : _____

SEBI Registration No.: NSE Capital Market: INB 231052938 | NSE Derivatives: INF 231052938 | CDSL: IN-DP-CDSL-370-2006
NSE Currency Derivatives: INE 231052938 | BSE Capital Market: INB 011053031 | NSDL: IN-DP-NSDL-369-2014
Research Analyst : INH000000602 | MCX-Sx Capital Market: INB261052931 | MCX-Sx Derivatives: INF261052931
Portfolio Manager: INP000004912 | IRDA/IR2/2014/241 | IRDA :SBG 9879816 | IRDA : SLI 9879816