

## ACCOUNT OPENING, TRADING & DEPOSITORY CHARGES

**Trading Account Charges :** Account Opening Charges ₹ 850/-

### SCHEDULE OF BROKERAGE / CHARGES AND TAXES

Segment	Delivery	Intra-Day		Minimum
		First leg	Second Leg	
Cash Market	0.50%	0.075%	0.075%	₹ 0.05
Derivatives	Future	0.05%	0.03%	₹ 0.01
	Option*	₹ 100/-	₹ 50/-	₹ 50/-
Currency Derivatives	Future	0.03%	0.015%	₹ 0.01
	Option*	₹ 30/-	₹ 20/-	₹ 20/-
Commodity Derivatives	Future	0.05%	0.03%	₹ 0.01
	Option*	₹ 100/-	₹ 50/-	₹ 50/-

\*Per lot #The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable. • Any concession in card rates is subject to approval from the competent authority.

### DEPOSITORY SERVICE CHARGES

HEAD	CHARGES
Account Maintenance Charge Individual	₹ 750/- (₹ 500/- for e-statement)
Account Maintenance Charge Non Individual	₹ 1000/-
Closure of Account	Nil
<b>On-Market (Sell Market) / Off Market (% of transaction value of each ISIN)</b>	
with POA (applicable for On Market Instructions only)	0.01% (Min. ₹ 21/- Max. ₹ 300/-)
For instruction submitted through internet	0.01% (Min. ₹ 21/- Max. ₹ 300/-)
For instruction submitted through Branches	0.04% (Min. ₹ 30/- Max. ₹ 50,000/-) Extra Charges ₹ 10/- per ISIN for Late submission
Buy- market and off-market (Credit)	Nil
Rejection / Failed instruction	₹ 10/- per failed instruction
<b>Dematerialisation / Rematerialisation</b>	
For each request form - Dematerialisation	₹ 35/-
For each certificate - Dematerialisation	₹ 5/-
Rematerialisation (For each request form)	₹ 35/- plus ₹ 10/- for every hundred securities or part thereof, or a flat fee of ₹ 10/-per certificate whichever is higher
<b>Pledge Creation/Closure/Confirmation/Invocation (% of value for each ISIN in each request)</b>	
For each ISIN Request	0.02% of Value or Min ₹ 25/- whichever is higher (Plus CDSL/NSDL Charges)
Margin Pledge	Flat Rate Rs 21/- per ISIN per transaction
Non Disposal Undertaking (NDU)	0.02% of Value or Min Rs 50/- whichever is higher
<b>Additional Accounts Statements</b>	
By Courier	₹ 30/-
By Email	Nil

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### INTRODUCERS DETAILS (OPTIONAL)

<b>Name</b>		
<b>Status of the Introducer</b>	<input type="checkbox"/> Sub-broker <input type="checkbox"/> Remisier <input type="checkbox"/> Authorised Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Employee of A & S <input type="checkbox"/> Other (Specify) _____	
<b>Address</b>		
<b>Contact Details</b>	<b>Mobile:</b>	Signature of Introducer

### OFFICE USE ONLY

UCC Code allotted to the Client:	Client Interviewed By
Name of the Employee	Employee Code                      Designation
Date	Signature of the interviewer

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

**For SBICAP Securities Limited**

**Authorised Signatory**